



Los Angeles Investment Management Symposium

Thursday, November 21, 2019

AGENDA

12:00 – 12:30 p.m.

REGISTRATION

12:30 – 12:35 p.m.

WELCOME REMARKS

- Robert Robertson, Partner, Dechert LLP

12:35 – 1:35 p.m.

LUNCH FEATURING KEYNOTE SPEAKER

- Jane Buchan, Chief Executive Officer and Co-Chief Investment Officer, Martlet Asset Management, LLC

1:35 – 1:45 p.m.

BREAK

1:45 – 2:30 p.m.

DIGITAL ASSETS AND VIRTUAL CURRENCIES

Panelists:

- Steven McClurg, Managing Director, Galaxy Digital
- Andrew Schaffer, Associate, Dechert LLP
- Tim Spangler, Partner, Dechert LLP
- Benjamin Tsai, President, Managing Partner, Wave Financial



Dechert
LLP

2:30 – 3:15 p.m.

ALTERNATIVE/MARKETPLACE LENDING

Panelists:

- Matt Hays, Partner, Dechert LLP
- Sara Priola, Founder, Law Office of Sara Priola
- Kenneth Rasamny, Associate, Dechert LLP
- Ayelet Rosen, Vice President of Capital Markets, Currency Capital

3:15 – 3:30 p.m.

BREAK

3:30 – 4:15 p.m.

SEC STANDARD OF CONDUCT RULEMAKINGS / REGULATION BI (BEST INTEREST)

Panelists:

- Jason Bortz, Senior Vice President and Senior Counsel, Capital Group
- Brenden Carroll, Partner, Dechert LLP
- Susan Grafton, Partner, Dechert LLP
- Mark Quinn, Director of Regulatory Affairs, Cetera Financial Group

4:15 – 5:00 p.m.

PRIVACY AND CYBERSECURITY

Panelists:

- Tim Blank, Partner, Dechert LLP
- Kevin Cahill, Partner, Dechert LLP
- Jeff Engelsman, Global Chief Compliance Officer, TCW Group, Inc.
- Christopher Hall, Chief Information Security Officer, Pacific Life

5:00 – 5:45 p.m.

ESG (ENVIRONMENTAL, SOCIAL AND GOVERNANCE)

Panelists:

- Daniel E. Ingram, Vice President, Wilshire Associates
- Ken Little, Managing Director, Investments Group, Brandes Investment Partners L.P.
- Mark Perlow, Partner, Dechert LLP
- Robert Robertson, Partner, Dechert LLP

5:45 – 6:00 p.m.

CLOSING REMARKS

- Kevin Cahill, Partner, Dechert LLP

6:00 – 7:30 p.m.

RECEPTION