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> New consideration of investment management in the US, France, Germany, Luxembourg, Ireland and Hong Kong

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General Editor: Dick Frase

This concise and practical book provides you with in-depth analysis and legal advice on the law and regulation of investment management. It examines the legal issues involved in investment management including the nature of the client services relationship, conflicts of interest and corporate governance, custody, contractual matters, and fiduciary duties.

With detailed coverage of the latest case law and legislation, this book is an invaluable reference tool for those working in compliance, investment management, pensions and insurance.

• Looks at the nature of the client services relationship
• Considers internal compliance and the ramifications of conflicts of interest and information barriers
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• Discusses the fiduciary duties that arise through investment management
• Looks at the contractual issues of the investment mandate
• Provides an overview of key US legislation
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• Covers specialist areas such as hedge funds, closed-ended funds, private equity funds
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• Looks in more detail at compliance issues
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EXPERT GUIDANCE

Dick Frase is a partner in Dechert LLP’s financial services group. He has extensive experience of the legal and regulatory aspects of the UK financial services industry, both in-house and in private practice. He qualified as a solicitor in 1981, and was a corporate partner with a leading City practice from 1988. From 1995 he was head of litigation at the Personal Investment Authority, which subsequently merged to form the Financial Services Authority. He left the Financial Services Authority to join Dechert in 1998.

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