



## Partner

**London** | 160 Queen Victoria Street, London, UK EC4V  
4QQ

**T +44 20 7184 7333 | F +44 20 7184 7001**

**[roger.burlingame@dechert.com](mailto:roger.burlingame@dechert.com)**

### Services

White Collar, Compliance and Investigations > Trials >  
Anti-Corruption Compliance and Investigations > Securities Litigation/Enforcement >  
Cartel Investigations > Asset Management Litigation/Enforcement > Litigation >  
Banking and Financial Institutions >  
Financial Services and Investment Management >  
Whistleblower Claims and False Claims Act Cases > Health Care > Government >

Roger A. Burlingame focuses his practice on white collar criminal defense, internal investigations, regulatory enforcement matters and related civil litigation. A former high-ranking prosecutor for the U.S. Department of Justice and seasoned trial lawyer, Mr. Burlingame defends individual and corporate clients in the UK, Europe, the Middle East and Africa (EMEA) from cross-border U.S. government investigations and related civil litigation. He routinely serves as lead defense counsel in high-profile investigations relating to fraud, collusion, manipulation and abuse in the financial markets, Foreign Corrupt Practice Act (FCPA) violations, money laundering, asset forfeiture and tax evasion. Mr. Burlingame also advises companies in EMEA and elsewhere on corporate governance and compliance matters.

Leveraging his knowledge on national security law, Mr. Burlingame also represents companies and individuals facing U.S. sanctions violations, import/export controls, as well as issues involving the International Emergency Economic Powers Act and the Committee on Foreign Investment in the United States.

Consistently recognized as a leading lawyer by EMEA-based clients facing U.S. government criminal and regulatory investigations, Mr. Burlingame is described by *Chambers & Partners UK* as "the go-to guy for any case with a US angle" and by *The Legal 500 UK* as "the go-to guy for European targets of high-profile US-facing investigations."

As a prosecutor, Mr. Burlingame served as Chief of the Public Integrity Section and Senior Trial Counsel in the Business and Securities Fraud Section at the U.S. Department of Justice (as an Assistant U.S. Attorney in the Criminal Division of the U.S. Attorney's Office for the Eastern District of New York). In these roles, he led and supervised scores of white-collar criminal matters, including bribery/FCPA investigations, securities, corporate, tax, healthcare and accounting frauds, and collusive market practices cases. Mr. Burlingame also led and oversaw mutual legal assistance treaty requests and extraditions.

For his work at the Department of Justice, Mr. Burlingame won numerous awards, including the New York City Bar Association's Henry L. Stimson Medal, presented annually to the top federal prosecutor in each of New York's two U.S. Attorney's Offices, the Federal Law Enforcement Foundation's "Investigator of the Year" award and the Council of Inspectors General "Excellence in Investigation" award.

Mr. Burlingame is an active contributor to the legal community, frequently speaking at conferences and authoring articles on defending clients from cross-border government investigations. His writing has appeared in publications such as *The New York Law Journal*, *Global Investigations Review*, *Law 360*, *The European, Middle Eastern and African Investigations Review*, *Fraud Intelligence* and *Bloomberg BNA*. For many years, Mr. Burlingame also taught a seminar in U.S. federal prosecution at New York University School of Law.

Prior to joining Dechert, Mr. Burlingame was a partner at a well-known disputes and investigations firm.

## EXPERIENCE

- **The Global Head of Trading and Investment Banking at a German-based global investment bank** in a U.S. Department of Justice (DOJ) investigation of an alleged US \$10 billion fraud and money laundering scheme.
- **The owners of global oil services company** in a DOJ Foreign Corrupt Practices Act (FCPA) investigation into the company's advisory business.
- **The Audit Committee of a European company** in conducting an internal investigation into operations in Eastern Europe, in connection with alleged FCPA, accounting and antitrust violations.
- **A UK-based futures trader**, facing U.S. Commodities Futures Trading Commission (CFTC) civil enforcement and DOJ criminal actions in the U.S. District Court for the Northern District of Illinois for commodities manipulation, fraud and spoofing, alleged to have contributed to the 2010 "flash crash".
- **The Board of Directors of a Swiss bank** in conducting an internal investigation into tax evasion.

- **A UK-based precious metals futures trader** against federal criminal charges of spoofing , wire and commodities fraud in the Northern District of Illinois, and a parallel CFTC enforcement action in the same district.
- **The former EMEA Head of the Portfolio Solutions Group for State Street bank**, charged in the U.S. District Court for the District of Massachusetts with a US\$15 million wire and securities fraud.
- **The majority shareholder of a privately held international offshore oil services company** in conducting an internal investigation of business operations in connection with FCPA inquiries.
- **The former CEO of Wealth and Investment at a global UK-based bank** in a U.S. government investigation into money laundering and FCPA violations.
- **Numerous individuals at a UK-based global bank** pursuant to an investigation by several U.S. authorities into violations of the Iranian sanctions regime.
- **The Global Head of Corporate and Investment Banking at a French global investment bank** in a DOJ investigation into alleged FCPA violations in securing investments from North African sovereign investment authority.
- **The director the UK-based affiliate of the largest internet prescription drug distributor to the U.S.**, charged with felony misbranding, money laundering and smuggling in the District of Montana.
- **A former sales representative for an Eastern European bank** charged in the Southern District of Florida by the DOJ with a US\$1.2 billion money laundering scheme.
- **The Head of Treasury for a French global bank** in a DOJ investigation into LIBOR manipulation.
- **The former regional CEO of a UK-based global bank** in a joint DOJ and U.S Securities Exchange Commission (SEC) investigation into potential FCPA violations stemming from the bank's Asia-Pacific hiring practices.
- **The CEO of an asset management company** with US\$50 billion under management in a DOJ asset forfeiture action relating to a US\$630 million embezzlement scheme.
- **The London-based head of a global sales desk for a multinational bank** in a DOJ investigation into fraud and market manipulation concerning the alleged leak of confidential information to a major hedge fund.
- **A former officer of a global mining and exploration company** in an investigation being conducted by the SEC and DOJ into possible FCPA violations arising out of certain Southeast Asian projects.
- **Numerous complex derivatives brokers** in investigations by the New York Attorney General and CFTC of fraud and market manipulation.
- **Several index derivative traders for global investment banks** in DOJ investigations into alleged collusion, market manipulation and fraud.
- **Multiple derivative traders for French global investment banks** in DOJ investigations into market manipulation allegations.
- **A London-based trader** in an investigation by the DOJ's fraud and antitrust sections and the SEC into collusion in the Sub-Sovereign and Agency bond market.
- **A London-based hedge fund founder** targeted in an SEC insider trading investigation.
- **Numerous London-based foreign exchange traders at global investment banks** in response to a DOJ investigation into alleged market manipulation.
- **A senior executive of a global UK engineering company** in response to allegations of bribery relating to the procurement of overseas contracts.
- **Several employees of investment banks** in response to an investigation by the DOJ, SEC and CFTC into alleged LIBOR manipulation in relation to the U.S. dollar and other currencies.

- **A senior sales executive at a global waste management company** in a DOJ money laundering investigation.
- **The head of a European asset management company** charged with tax evasion.
- **The head of private wealth management for a global investment bank** in a Financial Industry Regulatory Authority inquiry into insider trading.

*Includes matters handled at Dechert or prior to joining the firm.*

## EDUCATION

- University of Wisconsin - Madison, B.A.
- University of Virginia School of Law, J.D.

## ADMISSIONS

- Registered Foreign Lawyer, England and Wales
- New York
- United States Court of Appeals for the Second Circuit
- United States District Court for the Eastern District of New York
- United States District Court for the Southern District of New York
- United States District Court for the Northern District of Illinois

## MEMBERSHIPS

- European Criminal Bar Association
- Society of English & American Lawyers
- Extradition Lawyers' Association
- Fraud Lawyers Association
- International Bar Association
- American Bar Association
- New York City Bar Association
- National Association of Criminal Defense Lawyers
- International Bar Association, Business Crime Committee, Regional Representative Europe
- JUSTICE, Executive Board Member

## SPEAKING ENGAGEMENTS

- **Protecting the Wealthy, Their Assets & Advisors**, Cambridge Forums – Surrey (February, 2019)
- **Testimony on Bribery Act & FCPA**, House of Lords' Select Committee – London (November 27, 2018)
- **The Special Relationship with the USA: A case Study**, Annual DELF Conference 2018 – Grange St Paul's Hotel, London (September 14, 2018)
- **Corporate Crime**, Company Secretary Forum – London (November, 2017)
- **Outward View on International Corruption**, Transatlantic General Counsel Summit – London (June, 2017)
- **Combating Market Misconduct – What needs to be done?**, American Bar Association's Fifth London White Collar Crime Institute – London (October, 2016)
- **Conflicting Compliance: When Foreign Laws Are at Odds with Anti-Corruption Compliance under the FCPA and UK Bribery Act**, SCCE European Compliance & Ethics Institute – Prague (March, 2016)
- **The Art of Conducting Effective Investigations in High Risk Markets and Pushing Beyond the Paper to Ensure that Your Third Party Anti-Corruption Compliance Monitoring Program Can Stand Up to Government Scrutiny**, C5 Anti-Corruption Conference – London, (June, 2015)
- **An International Conundrum: Conducting an Internal Investigation on Behalf of a Multinational Organization**, New York Law Journal Webinar – New-York, NY (November , 2014)
- **Collateral Consequences – It's Not Over When You Think It's Over**, ABA White Collar Crime Institute – London (October, 2014)
- **Testimony on UK/US Extradition Treaty**, House of Lords' Select Committee – London (July, 2014)
- **Responding to the New Corruption Landscape**, C5 Anti-Corruption Conference – London (June, 2014)
- **US-UK Extradition - An Inside Perspective**, Extradition Lawyers Association – London (June, 2014)
- **Deferred Prosecution Agreements in Practice**, Fraud Lawyers Association – London (June, 2014)
- **Deferred Prosecution Agreements**, QEB Hollis Whiteman – London (January, 2014)
- **Theft of Identity and Impersonation – Who are You Dealing With?**, Cambridge International Symposium on Economic Crime – Cambridge (September, 2013)
- **Cross Border Jurisdictional Fraud**, The Fraud Lawyers Association – London (July, 2013)

## PUBLICATIONS

- [Enquêtes internes et révélations spontanées : les autorités françaises fixent les nouvelles règles du jeu dans leurs lignes directrices](#) – August 2019

- [Navigating French Internal Investigations and Self-Reporting - French Authorities Issue New Guidance](#) – August 2019
- [The Global Perspective: Trends in White Collar Defense and Investigations](#) – January 2019, *Lawdragon*
- [The International Comparative Legal Guide to: Corporate Investigations 2019](#) – January 2019, *The International Comparative Legal Guide*
- [The Sea Change Across the Pond: UK Privilege in Internal Investigations Remains in Flux](#) – March 2018, *New York Law Journal*
- Securities Regulation and Investigations across EMEA – June 2017, *The European, Middle Eastern and African Investigations Review, Global Investigations Review*
- [Understanding Privilege In UK Internal Investigations](#) – May 2017, *Law360 Expert Analysis*
- [Recent U.K. Decision Jeopardizes U.S. Privilege Assertions for Witness Interviews](#) – January 2017, *New York Law Journal*
- Weighing a UK self-report? Consider the US DOJ – October 2016, *Fraud Intelligence*
- The U.K.'s Whistleblower Champion Regime: America's Champion – January 2016, Bloomberg BNA