



Partner

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Services

Investment Funds: U.S. > Banking and Financial Institutions >
Financial Services and Investment Management > Investment Advisers >
Investment Funds and Investment Companies > Permanent Capital >
Regulatory Compliance > Mutual Funds > Private Funds >
Variable Insurance Products >

Corey F. Rose has more than 10 years of experience advising investment funds and their independent directors, investment management companies, banks, and other financial institutions on a wide variety of matters including ongoing corporate governance, regulatory compliance, public filings, shareholder meetings, contract negotiations, and fund reorganizations. In addition, he focuses on the intersection of banking and investment management activities and regulation.

Mr. Rose is also a member of the firm's anti-money laundering practice and counsels financial institutions on compliance with federal anti-money laundering laws and regulations as well as economic and trade sanctions.

Prior to joining Dechert, Mr. Rose was a trial attorney in the Enforcement and Compliance Division of the Office of the Comptroller of the Currency in Washington, D.C.

EDUCATION

- University of South Carolina, B.A., 1999, *magna cum laude*, Phi Beta Kappa
- University of North Carolina School of Law, J.D., 2002, with Honors, senior staff member of the *Journal of International Law and Commercial Regulation*

ADMISSIONS

- District of Columbia
- North Carolina

SPEAKING ENGAGEMENTS

- **Accessing the US Market Using 1940 Act Funds** — Dechert LLP, Webinar (July 14, 2017)
- **Accessing the US Market Using 1940 Act Funds** — Hong Kong (November 23, 2016)
- **The Volcker Rule: Adjusting to a New Landscape** — Regulatory Compliance Association, Webcast (June 12, 2014)
- **Current Developments Affecting the Fund Industry** — Dechert LLP, Orlando, FL (March 18, 2014)
- **The Volcker Rule: Understanding the Practical Implications for Funds and Asset Managers** — Dechert LLP, London, UK (March 11, 2014)
- **Living with the Volcker Rule: Volcker Rule Impacts on Asian Asset Managers** — Dechert LLP, Webinar (February 20, 2014)
- **Delivering Your Investment Management Expertise** — CFA North Carolina Society Program, Winston Salem & Raleigh, NC (May 13, 2009)